APPLICATION FOR RETURN OF EXCESS RETIREMENT DEDUCTIONS
FEDERAL EMPLOYEES RETIREMENT SYSTEM

To avoid delay in payment: (1) Complete application in full; (2) Typewrite or print in ink; (3) Read the information on the back of this form.

1. Name *(last, first, middle)*	2. Date of Birth *(Month, day, year)*	3. Social Security Number	4. Are you a citizen of the United States of America	G Yes G No
5. List all other names you have used (including maiden name, if applicable)		6. Previous applications filed (indicate by "x")	G Retirement Annuity G Refund	G Deposit

7. List below all of your civilian and military service for the United States Government

Department or Agency *(including bureau, branch, or division where employed)*	Location of Employment *(City, State, and ZIP Code)*	Title of Position	Periods of Service		Indicate whether retirement deductions were withheld from your salary (Check One)		Have you paid deposit for any period, including military service? (Check One)	
			Beginning Date	Ending Date	Withheld	Not Withheld	Fully or partially paid	Not Paid

8. Indicate below whether you wish to have Federal income tax withheld from the interest portion of your payment:

G Withhold Federal income tax from the interest portion of my payment.
If you elect withholding, the amount withheld will be 10% of the total interest payable.

G Do **not** withhold Federal income tax from the interest portion of my refund payment.

9. **APPLICATION CERTIFICATION**

I hereby certify that all statements in this application, including any information I have given on the back, are true to the best of my belief and knowledge and that the tax withholding election made here reflects my wishes.

Your Signature (Do not print)	Date

WARNING--Any intentional false statement in this application or willful misrepre-sentation relative thereto is a violation of the law punishable by a fine of not more than $10,000 or imprisonment of not more than 5 years, or both. (18 U.S.C. 1001)

10. **ADDRESS FOR MAILING REFUND CHECK**

Number and Street	Telephone Number *(including area code)*
City, State, and ZIP Code	

WE CANNOT AUTHORIZE PAYMENT IF THIS ADDRESS IS ERASED OR OTHERWISE CHANGED

NOTE: This application should not be offered to a financial institution or other person as collateral or security for a loan. An employee must apply for payment personally any payment must be made directly to him or her. However, outstanding debts to the U.S. Government can, at the Government's request, be withheld from a payment provided al legal requirements are met.

FEDERAL TAX WITHHOLDING--Although the return of excess contributions is not subject to Federal income tax, any interest paid on your contributions is taxable in the yea that it is paid. If you elect no to have Federal tax withheld, or if you do not have enough Federal tax withheld, you may be responsible for payment of estimated tax. In addition, you may incur penalties under the estimated tax rules if your withholdings and estimated tax payments are not sufficient.

PRIVACY ACT STATEMENT--Public Law 99-335, Federal Employees Retirement System Act, authorizes solicitation of this information. The data you furnish will be used to determine you eligibility to receive a return of excess retirement deductions.

This information may be shared with national, state, local, or other charitable or social security administrative agencies to determine and issue benefits under their programs, or with law enforcement agencies when they are investigating a violation or potential violation of the civil o criminal law.

Executive Order 9397 (November 22, 1943) authorizes use of the Social Security Number to distinguish you and people with similar names. Furnishing your Social Security Number, as well as other data, is voluntary, but if you do not do this, OPM may be unable to determine your eligibility to receive a return of excess retirement deductions.

WHERE TO FILE YOUR APPLICATION

1. If you are employed or have been separated 30 days or less, forward this application to the office in which you were last employed.
2. If you have been separated more than 30 days and want a refund of your total deductions, use form SF 3106; otherwise, forward this application to the Office of Personnel Management, Federal Employees Retirement System, P.O. Box 45, Employee Service and Records Center, Boyers, PA 16017.

See back of this form for important information concerning your application for return of excess retirement deductions.

Instructions to Employee

Do not complete this application if you had more than 5 years of civilian service as of the effective date of your FERS coverage. (Service after 1983 covered by **both** CSRS and Social Security deductions does not count toward the 5 year limit. Do count refunded service and service not covered by CSRS deductions.) The notice of personnel action concerning your transfer to FERS will generally indicate if you are eligible for a refund. If your personnel office determines that you are not eligible for a return of excess deductions because you had 5 years of creditable civilian service, your application will be returned to you and your service will be credited under the Civil Service Retirement System. You may request, in writing, for your personnel office to reevaluate your application if you believe you have less than 5 years of creditable civilian service. If your agency again determines that you are not eligible for a return of excess deductions, OPM will reconsider your application.

If you have less than 5 years of creditable civilian service (excluding service after 1983 that was simultaneously subject to both CSRS and Social Security deductions) on the effective date of your FERS coverage, you may request a return of any excess military deposit you may have made as well as any excess CSRS deductions. Your service will still be credited under FERS. You may elect a return while you are an employee. Use this form to elect such a return. By law, interest is not paid on excess military deposits. In transfer cases, interest is paid on excess civilian deductions at a rate of 3% through 1984 and an annually variable rate thereafter.

The variable rates are listed below:

1985	13%
1986	11.125%
1987	9%
1988	8.375%
1989	9.125%
1990	8.75%
1991	8.625%

Part 2 - To be completed by employing office

Instructions to Employing Office

Review service listed in item #7 on the front of this form and the applicant's personnel folder and complete the following:

1. Eligibility for Return of Excess Contributions

	Years	Months	Days
1 a. Total civilian service subject to full CSRS or Foreign Service Retirement deductions			
1 b. Total creditable civilian service not subject to retirement deductions (other than Social Security)			
1 c. Enter total of 1a. and 1b. *(exclude service subject to Social Security and partial retirement deductions)*			

1 d. Does item 1c. show at least 5 years service? G No→ Employee is entitled to return of excess deductions G Yes→ Employee is not entitled to a return of excess deductions. Return application to employee.	Effective date of FERS coverage
3. I certify that this individual has less then 5 years of creditable civilian service (excluding service after 1983 subject to both CSRS and Social Security coverage), is covered by FERS, and is eligible for this refund.	
Signature	Date

Part 3 - Instructions to Payroll Office

Please forward the original of this application along with the emloyee's redesignated civilian or military SF 2806 to OPM under cover of a FERS Register of Separations and Transfers (SF 3103) separate from regular SF 3103's. Do not intermingle these retirement records with other FERS retirement records being sent to OPM. For additional information, see FPM Supplement 830-1, Chapter 33.

Amount of excess military deductions paid by the Payroll Office: $_____.